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Docket No.: SONYJP 3.3-309  
(PATENT)

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re Patent Application of:  
Naoki Yoshida

International Application No.: Group Art Unit: N/A  
PCT/JP2003/007873

International Filing Date: Examiner: Not Yet  
20 June 2003 Assigned

**For: TRANSMISSION APPARATUS, RECEPTION  
APPARATUS**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

**INFORMATION DISCLOSURE STATEMENT**

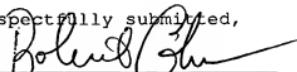
Dear Sir:

It is respectfully requested that the references listed on the enclosed Form PTO-1449 be made of record and considered with respect to the above-referenced U.S. patent application. A copy of each reference is enclosed. These references were cited in the background section of the present specification and/or a corresponding International Search Report, a copy of which is enclosed. Submission of the present Information Disclosure Statement should not be taken as an admission that the cited references are legally available prior art or that the same are pertinent or material.

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Dated: December 20, 2004

Respectfully submitted,

By   
Robert B. Cohen

Registration No.: 32,768  
LERNER, DAVID, LITTBENBERG,  
KRMHOLZ & MENTLIK, LLP  
600 South Avenue West  
Westfield, New Jersey 07090  
(908) 654-5000  
Attorney for Applicant

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Substitute for form 1449A/B/PTO				Complete if Known	
<b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>				Application Number	Not Yet Assigned
(Use as many sheets as necessary)				Filing Date	Concurrently Herewith
Sheet	1	of	1	First Named Inventor	Naoki Yoshida
				Art Unit	N/A
				Examiner Name	Not Yet Assigned
				Attorney Docket Number	SONYJP 3.3-309

U.S. PATENT DOCUMENTS					
Examiner Initials*	Cite No. <sup>1</sup>	Document Number	Publication Date	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number-Kind Code <sup>2</sup> (if known)	MM-DD-YYYY		
/J.R./	AA	US-2002/0073425-A	06-13-2002	Arai et al.	

FOREIGN PATENT DOCUMENTS					
Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document	Publication Date	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Country Code <sup>3</sup> -Number <sup>4</sup> -Kind Code <sup>5</sup> (if known)	MM-DD-YYYY		
/J.R./	BA	JP-2000-324472-A	11-24-2000	Sony Corp	1A
	BB	EP-1 096 797-A1	05-02-2001	Sony Corp	✓
	BC	JP-2000-333041-A	11-30-2000	Sony Corp	**
	BD	JP-11-187324-A	07-09-1999	Matsushita Electric Industrial Co., Ltd.	2A
	BE	JP-11-261908-A	09-24-1999	Toshiba Corp.	**
	BF	JP-2002-112186-A	04-12-2002	Toshiba Corp.	**
	BG	JP-2001-251602-A	09-14-2001	Matsushita Electric Industrial Co., Ltd.	**
	BH	JP-2000-285660-A	10-13-2000	Shin Woo Kyun	3A
	BI	GB-2 349 267-A	10-25-2000	Shin Woo Kyun	✓

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. <sup>1</sup>Applicant's unique citation designation number (optional). <sup>2</sup>See Kind Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04. <sup>3</sup>Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>4</sup>Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. <sup>5</sup>Applicant is to place a check mark here if English language Translation is attached.

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\*\*With English Abstract Only

1A – EP Patent Application 1 096 797 is an English Counterpart

2A – US 2002/0073425 is an English Counterpart

3A – GB 2 349 267 is an English Counterpart

533740.1

Examiner Signature	/Jivka Rabovianski/	Date Considered	11/26/2008
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